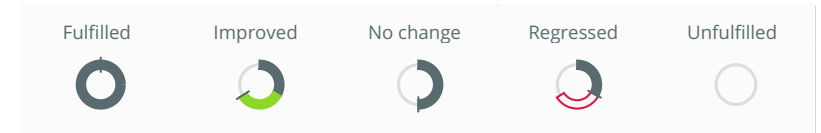




# SUSBA ANALYSIS

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# ASSESSMENTS



## ESG Integration Pillars (Japan)

Purpose	Mizuho	MUFG	Resona	SMBC	SMTB	Average
1.1. Sustainability strategy and stakeholder engagement						
1.2. Participation in sustainable finance initiatives and policy advocacy with regulators						

Policies	Mizuho	MUFG	Resona	SMBC	SMTB	Average
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2.1. Public statements on specific ESG issues						
2.2. Public statements on specific sectors						

Processes	Mizuho	MUFG	Resona	SMBC	SMTB	Average
3.1. Assessing ESG risks in client and transaction approvals						
3.2. Client monitoring and engagement						

People	Mizuho	MUFG	Resona	SMBC	SMTB	Average
4.1. Responsibilities for ESG						

4.2. Staff E&S training and performance evaluation						
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Products	Mizuho	MUFG	Resona	SMBC	SMTB	Average
5.1. ESG integration in products and services						

Portfolio	Mizuho	MUFG	Resona	SMBC	SMTB	Average
6.1. ESG risk assessment and mitigation at portfolio level						
6.2. Disclosure of ESG risk exposure and targets						

<sup>1</sup> RSPO - Roundtable on Sustainable Palm Oil; PRB - Principles for Responsible Banking; EP - Equator Principles; SBTi - Science Based Targets initiative; SBEFP - Sustainable Blue Economy Finance Principles.