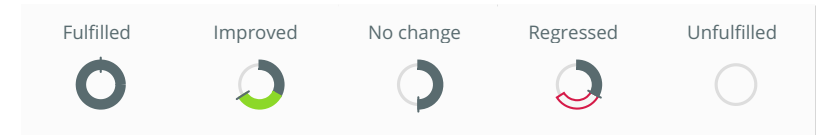




SUSBA ANALYSIS

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ASSESSMENTS



ESG Integration Pillars (United Kingdom)

Purpose	HSBC	SC	Average
1.1. Sustainability strategy and stakeholder engagement			
1.2. Participation in sustainable finance initiatives and policy advocacy with regulators			

Policies	HSBC	SC	Average
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2.1. Public statements on specific ESG issues			
2.2. Public statements on specific sectors			

Processes	HSBC	SC	Average
3.1. Assessing ESG risks in client and transaction approvals			
3.2. Client monitoring and engagement			

People	HSBC	SC	Average
4.1. Responsibilities for ESG			

4.2. Staff E&S training and performance evaluation			
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Products	HSBC	SC	Average
5.1. ESG integration in products and services			

Portfolio	HSBC	SC	Average
6.1. ESG risk assessment and mitigation at portfolio level			
6.2. Disclosure of ESG risk exposure and targets			

¹ RSPO - Roundtable on Sustainable Palm Oil; PRB - Principles for Responsible Banking; EP - Equator Principles; SBTi - Science Based Targets initiative; SBEFP - Sustainable Blue Economy Finance Principles.

² Assessments for banks classified under the "International" region are based on FY 2018 reports, and are in the process of being updated for FY 2019 disclosures.